

## Tutorial 4

P2) Claim:  $H(X, Y, \underbrace{f(X, Y)}_Z) = H(X, Y)$

$$H(X, Y, Z) = - \sum_{x, y, z} P(X=x, Y=y, Z=z) \log(P(X=x, Y=y, Z=z))$$

$$P(X=x, Y=y, Z=z) = \begin{cases} P(X=x, Y=y) & \text{if } z = f(x, y) \\ 0 & \text{otherwise} \end{cases}$$

$$\Rightarrow H(X, Y, Z) = - \sum_{x, y} P(X=x, Y=y) \log(P(X=x, Y=y)) = H(X, Y)$$

Note:  $0 \cdot \log(0) = 0$

P3)  $\mathcal{M}_+ = \{M \in \mathcal{M} \mid P(\hat{M} = M) > 0\}$

$$\mathcal{C}_+ = \{C \in \mathcal{C} \mid P(\hat{C} = C) > 0\}$$

Assumptions: i)  $|\mathcal{M}| = |\mathcal{K}| = |\mathcal{C}|$

ii)  $P(\hat{M} = m) > 0$

$\forall M \in \mathcal{M}$

With Lemma 4.12 a)

$$|\mathcal{M}_+| \stackrel{i)}{\leq} |\mathcal{C}_+| \stackrel{ii)}{\leq} |\mathcal{C}| \stackrel{i)}{\leq} |\mathcal{M}| = |\mathcal{M}_+|$$

$$\Rightarrow |\mathcal{C}_+| = |\mathcal{C}| \Rightarrow \mathcal{C}_+ = \mathcal{C}$$

Let  $M \in \mathcal{M}, C \in \mathcal{C}$

$$0 < P(\hat{C} = C) \stackrel{\text{perfect secrecy}}{=} P(\hat{C} = C \mid \hat{M} = M) = P(e(\hat{M}, \hat{K}) = C \mid \hat{M} = M)$$

$$= P(e(M, \hat{K}) = C) = \sum_{k \in \mathcal{K}: e(M, k) = C} P(\hat{K} = k) \neq 0$$

$$\Rightarrow \forall M \in \mathcal{M}, C \in \mathcal{C} \exists k: e(M, k) = C$$

For uniqueness of  $k$  fix  $M \in \mathcal{M}$

$$|\mathcal{C}'_+| = |\mathcal{C}'| = |\{c(M, k) \mid k \in \mathcal{K}_+ = \mathcal{K}\}| \leq |\mathcal{K}| = |\mathcal{C}'|$$

$\Rightarrow k$  is unique with  $k = k(M, c)$

Let  $M \in \mathcal{M}, c \in \mathcal{C}'$

$$\Rightarrow P(\hat{c} = c) = P(\hat{k} = k(M, c))$$

Fix  $c_0 \in \mathcal{C}'$

$$\Rightarrow \{k(M, c_0) \mid M \in \mathcal{M}\} = \mathcal{K}$$

$$\Rightarrow P(\hat{c} = c) = P(\hat{k} = k) \quad \forall c \in \mathcal{C}', k \in \mathcal{K}$$

$$\Rightarrow P(\hat{k} = k) = \frac{1}{|\mathcal{K}|} \quad \forall k \in \mathcal{K}$$

P1/a)  $0 \leq H(x)$       $P_i := P(X=x_i)$

$$H(x) = -\sum_i P_i \log(P_i) \geq 0$$

as  $P_i \geq 0$  ;  $-\log(P_i) \geq 0$ , because  $0 < P_i \leq 1$  and  $0 \cdot \log(0) = 0$

$$\Rightarrow -P_i \log(P_i) \geq 0 \Rightarrow H(x) \geq 0$$

Equality holds if all  $-P_i \cdot \log(P_i) = 0 \Leftrightarrow P_i \in \{0, 1\} \forall i=1, \dots, n$

b)  $H(x) \leq \log(m)$  with equality if and only if  $P(X=x_i) = \frac{1}{m}$

$$H(x) - \log(m) = -\sum_i P_i \log(P_i) - \sum_{\substack{i \\ P_i > 0}} P_i \log(m)$$

$$= \sum_{\substack{i \\ P_i > 0}} P_i \log\left(\frac{1}{P_i \cdot m}\right) \quad \text{Hint: } \ln(z) \leq z - 1 \quad \forall z > 0$$

$$\leq \log(e) \sum_{\substack{i \\ P_i > 0}} P_i \left(\frac{1}{P_i \cdot m} - 1\right)$$

$$= \log(e) \left(\sum_{\substack{i \\ P_i > 0}} \frac{1}{m} - 1\right) = \log(e) \left(\frac{k}{m} - 1\right) \leq 0$$

$$k = |\{i \mid P_i > 0\}| \leq m$$

$\Rightarrow$  Equality holds true iff  $P(X=x_i) = \frac{1}{m}$

c) also uses  $\ln(z) \leq z - 1$

d) see solution as pdf

e)  $H(X, Y) \leq H(X) + H(Y)$

$$H(X, Y) \stackrel{d)}{=} H(X) + H(Y|X) \stackrel{c)}{\leq} H(X) + H(Y)$$

equality in c) holds true, iff  $X$  and  $Y$  are stoch. independent